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SEPTEMBER 09, 2004

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FLIESLER MEYER LLP  
SHELDON R. MEYER  
FOUR EMBARCADERO CENTER, FOURTH FLOOR  
SAN FRANCISCO, CALIFORNIA 94111

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FLIESLER MEYER LLP

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/31/2004 ✓

REEL/FRAME: 015097/0653 ✓  
NUMBER OF PAGES: 7 ✓

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

OLANDER, DARYL B.

DOC DATE: 07/11/2004 ✓

ASSIGNOR:

FEIT, RICHARD

DOC DATE: 07/12/2004 ✓

ASSIGNOR:

O'NEIL, EDWARD K.

DOC DATE: 07/12/2004 ✓

ASSIGNOR:

BREEDEN, TIMOTHY J.

DOC DATE: 07/12/2004 ✓

ASSIGNOR:

GIRIDHAR, SATHYANARAYANA

DOC DATE: 06/02/2004 ✓

ASSIGNOR:

HOWES, JASON

DOC DATE: 06/21/2004 ✓

Fliesler, Meyer LLP

File: BEAS-01403050

Action Item: /

Date Due: /

Critical Date: /

Attorney Path: SM/D38

Docketed By: scm

Verified By: mty

015097/0653 PAGE 2

## ASSIGNOR:

DEW, DOUGLAS

DOC DATE: 08/27/2004▼

## ASSIGNEE:

BEA SYSTEMS, INC.▼  
2315 NORTH FIRST STREET  
SAN JOSE, CALIFORNIA 95131

SERIAL NUMBER: 10789140▼

FILING DATE: 02/27/2004▼

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR DYNAMICALLY GENERATING A GRAPHICAL USER  
INTERFACE▼

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Customer No. **23910**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:

- Daryl B. Olander
- Richard Feit
- Edward K. O'Neil
- Timothy J. Breeden
- Sathyanarayana Giridhar
- Jason Howes
- Douglas Dew

Additional names of conveying parties attached? No

2. Name and address of receiving party:

Name: BEA Systems, Inc.

Address: 2315 North First Street

San Jose, California 95131

Additional name(s) & address(es) attached? Yes ☒ No

3. Nature of conveyance: **Assignment**

Execution Dates:

- Daryl B. Olander - July 11, 2004
- Richard Feit - July 12, 2004
- Edward K. O'Neil - July 12, 2004
- Timothy J. Breeden - July 12, 2004
- Sathyanarayana Giridhar - June 2, 2004
- Jason Howes - June 21, 2004
- Douglas Dew - August 27, 2004

4. Patent Application No.: 10/789,140

Filed: Date: February 27, 2004

Title: System and Method For Dynamically Generating A Graphical User Interface

Confirmation No.: 9268

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sheldon R. Meyer

Address: Fliesler Meyer LLP

Four Embarcadero Center, Fourth Floor

San Francisco, CA 94111

Telephone: (415) 362-3800

6. Total Number of applications and patents involved: 1 X \$40.00 each

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Please charge \$40.00 to Deposit Account No. 06-1325.

8. Fee Authorization. Authorization is given to charge any additional fees or credit any overpayment to Deposit Account No. 06-1325.

Copy: (A duplicate copy of this authorization is not enclosed.)

9. Statement and signature. *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel J. Burns

Attorney (Reg. No.: 50,222)

  
Signature

8/31/2004  
Date

10. Total number of pages to be recorded: 7 (1 page cover sheet and 6 page document).

## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) Daryl B. Olander,  
a resident of Boulder, Colorado;
- (2) Richard Feit,  
a resident of Boulder, Colorado;
- (3) Edward O'Neil,  
a resident of Boulder, Colorado;
- (4) Timothy Breeden,  
a resident of Boulder, Colorado;
- (5) Sathyanarayana Giridhar,  
a resident of Sammamish, Washington;
- (6) Jason Howes,  
a resident of Somerville, Massachusetts; and
- (7) Douglas Dew,  
a resident of Champaign, IL.

have invented certain new and useful improvements in:

### SYSTEM AND METHOD FOR DYNAMICALLY GENERATING A GRAPHICAL USER INTERFACE

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention, said invention having been filed on February 27, 2004, and assigned U.S. Patent Application No. 10/789,140 which claims priority to U.S. Provisional Patent Application No. 60/450,991 filed on February 28, 2003.

WHEREAS BEA Systems, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 2315 North First Street, San Jose, California 95131, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention,

including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

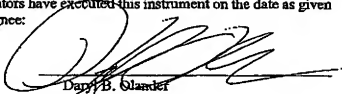
2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date as given below and delivered this instrument to said Assignee:

July 11, 2004  
Date

  
Daryl B. Olander

State of \_\_\_\_\_

County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Daryl B. Olander, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

7/12/04

Date

  
Richard Feit (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Richard Feit, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

7/12/04

Date

  
Edward O'Neil (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Edward O'Neil, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

Date

7/2/04

Timothy Broeden (Inventor's Signature)

State of

County of

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Timothy Broeden, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

Date

06/02/2004

Sathyajayarana Giridhar (Inventor's Signature)

State of

County of

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Sathyajayarana Giridhar, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

\*\*\*\*\*

6/21/2004  
Date

Jason Howes (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Jason Howes, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

\_\_\_\_\_  
Date

Douglas Dew (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Douglas Dew, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_



\*\*\*\*\*

\_\_\_\_\_  
Date

\_\_\_\_\_  
Jason Howes (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

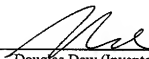
personally appeared Jason Howes, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

\*\*\*\*\*

27 Nov 2004  
Date

  
Douglas Dew (Inventor's Signature)

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
(name and title of officer)

personally appeared Douglas Dew, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature \_\_\_\_\_